COURSE SYLLABUS

Compliance Auditing Course

Understand how to conduct audits focused on regulatory, contract, grant, and quality compliance using structured approaches.

Group classes (Washington, DC) and onsite training are available for this course.
For more information, email onsite@graduateschool.edu or visit: https://sdfm.graduateschool.edu/courses/compliance-auditing



CustomerRelations@graduateschool.edu • (888) 744-4723

Course Outline

Module 1: Federal Compliance Overview and Anti-deficiency Act

- Understand what is meant by federal compliance
- Define the purpose and importance of federal compliance audits
- Understand GAGAS and federal audit requirements
- Understand guidance on conducting compliance audits
- Distinguish between federal noncompliance, fraud, and the Anti-Deficiency Act
- Understand core areas to include in federal compliance audits

Module 2: Federal Appropriations Compliance

- Overview of Federal Appropriations
- Federal Appropriations Compliance
- Federal Appropriations Compliance Audits
- Core Areas to Build into Federal Appropriations Compliance Audits

Module 3: Federal Contracts Compliance

- Overview of Federal Contracts
- What is Federal Contract Compliance
- Federal Contract Compliance Audits
- Core Areas to Build into Federal Contract Compliance Audits

Module 4: Federal IT Compliance

- Overview of Federal IT Compliance
- Overview of FISMA, NIST and Other Compliance
- IT Compliance Audits
- Core Areas to Build into IT Compliance Audits

Module 5: Federal Financial Process and Budget Compliance

- Brief overview of the Federal Financial Process and Budget Compliance

- Federal Financial Process and Budget Compliance Audits
- Core Areas to Build into Federal Financial Process and Budget Compliance Audits

Module 6: Plan, Execute, and Report on Federal Compliance Audits

- Audit Planning (Objectives)
- Audit Execution (Developing Elements)
- Audit Reporting (Findings, Cause, Criteria, Recommendations)
- Capstone Project: Compliance Audit Report Review